





SEP 0 4 2001

PTO/SB/21 (12-97)

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+ Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | Application Number | 1 | 09/826,383 | |
|--|----------------------|--|-------|--|--|
| TRANSMITTAL | | Filing Date | | 4 April 2001 | |
| FORM | | First Named Inventor | | Yoshida, et al. | |
| to be used for all correspondence a | fter initial filing) | Group Art Unit | | | |
| | | Examiner Name | | | |
| Total Number of Pages in This Sub | mission 100 | Attorney Docket Numbe | | 0694-143 | |
| | ENCLO | SURES (check all that ap | oly) | | |
| Fee Transmittal Form | | nent Papers Application) | | After Allowance Communication to Group | |
| Fee Attached | Drawing | | | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Response | Licensin | ng-related Papers | | Appeal Communication to Group | |
| After Final | | Routing Slip (PTO/SB/69) companying Petition | | Proprietary Information | |
| Affidavits/declaration(s) | To Con | vert a onal Application | | Status Letter | |
| Extension of Time Request | Power of Change | of Attorney, Revocation of Correspondence | | Additional Enclosure(s) (please identify below): | |
| 그 ᄀᅟ | Address Termina | s at Disctaimer | | SEE REMARKS BELOW | |
| Express Abandonment Reques | | Small Entity Statement | | | |
| Information Disclosure Stateme | nt Reques | Request for Refund | | | |
| Certified Copy of Priority Document(s) | Remarks | | | C | |
| Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Small Entity Statement Request for Refund Remarks Declaration and Power of Attorney for Patent Application; Priority Application Nos. 2000-101895, 2000-340406, 2000-342789, 2000-342835; Pat. No. WO 01/54145 A1 | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | 2000-342835 | ; Pat. No. WO 01/54145 A | 1040 | -7 2 MAII | |
| | URE OF APPLI | CANT, ATTORNEY, OR | AGE | NT RO | |
| irm br Bradley N. Rut | en | Registra | ation | No. 32,058 | |
| ignature | Bred | 4 NAL | | | |
| Pate | | 31 August 2001 | | | |
| | CERTIFIC | ATE OF MAILING | | | |
| ereby certify that this corresponder | ce is being depos | ited with the United States Po | | | |
| velope addressed to: Assistant Co | nmissioner for Pat | ents, Washington, D.C. 2023 Anthony Avant | 1 on | this date: 31 August 2001 | |

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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

| AMOUNT OF PAY | MENT (\$) | |
|---------------|-----------|--|

TOTAL

| (\$) 1,836.00 |
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| Comple | te if Known | 7 |
|----------------------|-------------------|----|
| Application Number | 09/826,383 🚴 , (| , |
| Filing Date | 4 April 2001 کی ۲ | ., |
| First Named Inventor | Yoshida, et al. | _ |
| Examiner Name | | 7 |
| Group Art Unit | 2815 | |
| Attorney Docket No. | 0694-143 | _ |
| | | _ |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | |
|---|--|-----------------|
| 1. The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES | Π. |
| Deposit Deposit | Large Small Entity Entity | |
| Account Number 08-2776 | Entity Entity Fee Fee Fee Fee Fee Description Fee Pair Code (\$) Code (\$) | d d |
| Account Name Hopgood, Calimafde, et al | 105 130 205 65 Surcharge - late filing fee or oath 130.00 | 7 |
| Charge Any Additional Fee Required | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | _ |
| Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. | 139 130 139 130 Non-English specification | |
| See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | _ |
| 2. | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | _ |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | |
| Large Entity Small Entity | 116 390 216 195 Extension for reply within second month | 38 |
| Fee Fee Fee Fee Description | 117 890 217 445 Extension for reply within third month | ⊣I |
| 404 740 904 955 1895 495 455 | 118 1,390 218 695 Extension for reply within fourth month | -∤ ∦ |
| 101 /10 201 355 Utility filling fee 710.00 | 128 1,890 228 945 Extension for reply within fifth month | _ |
| 107 490 207 245 Plant filing fee | 119 310 219 155 Notice of Appeal | _ |
| 108 710 208 355 Reissue filing fee | 120 310 220 155 Filing a brief in support of an appeal | ╝ |
| 114 150 214 75 Provisional filing fee | 121 270 221 135 Request for oral hearing | <u> </u> |
| | 138 1,510 138 1,510 Petition to institute a public use proceeding | _ |
| SUBTOTAL (1) (\$) 710.00 | 140 110 240 55 Petition to revive - unavoidable | ⊿I |
| 2. EXTRA CLAIM FEES | 141 1,240 241 620 Petition to revive - unintentional | |
| Fee from Extra Claims below Fee Pald | 142 1,240 242 620 Utility issue fee (or reissue) | 560. |
| Total Claims 42 -20** = 22 X 18.00 = 396.00 | 143 440 243 220 Design issue fee | 122 |
| Independent Claims 10 - 3** = 7 X 80.00 = 560.00 | 144 600 244 300 Plant issue fee | 38 |
| Multiple Dependent = | 122 130 122 130 Petitions to the Commissioner | _ 38 |
| | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | 41 |
| Large Entity Small Entity Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | 41 |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | |
| 102 80 202 40 Independent claims in excess of 3 | 146 710 246 355 Filing a submission after final rejection | |
| 104 270 204 135 Multiple dependent claim, if not paid | (37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be | \dashv |
| 109 80 209 40 ** Reissue independent claims over original patent | examined (37 CFR § 1.129(b)) | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 710 279 355 Request for Continued Examination (RCE) | ⊣I |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | 4 |
| SUBTOTAL (2) (\$) 956.00 | Other fee (specify) Advance Order (3 copies) | ⊣ |
| **or number previously peid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00 | _]. |

| SUBMITTED BY | | | | Complete (if | fapplicable) |
|-------------------|------------------|-----------------------------------|--------|--------------|----------------|
| Name (Print/Type) | Bradley N. Ruben | Registration No. (Attorney/Agent) | 32.058 | Telephone | (212) 551-5000 |
| Signature | Budl N. | Che | | Date | 31 August 2001 |

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